

10/22/01
JC962 US PTO

01-08-02 A

Please type a plus sign (+) inside this box PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. A01087B
First Inventor Ralph Craig Even
Title Aqueous Acrylic Emulsion Polymer Composition
Express Mail Label No. EF385421543US10/22/01
JC962 US PTO

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 28]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C.113) [Total Sheets]
5. Oath or Declaration [Total Pages]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

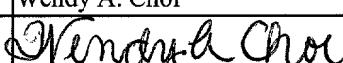
 Continuation Divisional Continuation-in-part (CIP)of prior application No. 09/882,024
Group Art Unit, 1762

Prior application information Examiner _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)		or	<input type="checkbox"/> Correspondence address below
Name	Ronald D. Bakule			
Address	Rohm and Haas Company			
	100 Independence Mall West			
City	Philadelphia	State	PA	Zip Code
Country	USA	Telephone	215-641-7822	Fax

Name (Print/Type)	Wendy A. Choi	Registration No. (Attorney/Agent)	36,697
Signature			Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 908)

Complete if Known

Application Number	09/882,024
Filing Date	June 18, 2001
First Named Inventor	Ralph Craig Even
Examiner Name	
Group Art Unit	1762
Attorney Docket No.	A01087B

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **18-1850**
Deposit Account Name **Rohm and Haas Company**

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

3. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 740 201 370	Utility filing fee	740			
106 330 206 165	Design filing fee				
107 510 207 255	Plant filing fee				
108 740 208 370	Reissue filing fee				
114 160 214 80	Provisional filing fee				
SUBTOTAL (1)		(\$ 740)			

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
12	-20** = 0		0	0	0
5	-3* = 2		84	168	

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
103 18 203 9	Claims in excess of 20			
102 84 202 42	Independent claims in excess of 3			
104 280 204 140	Multiple dependent claim, if not paid			
109 84 209 42	** Reissue independent claims over original patent			
110 18 210 9	** Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2)		(\$ 168)		

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130 205	65	Surcharge - late filing fee or oath			
127 50 227	25	Surcharge - late provisional filing fee or cover sheet			
139 130 139	130	Non-English specification			
147 2,520 147	2,520	For filing a request for ex parte reexamination			
112 920* 112	920*	Requesting publication of SIR prior to Examiner action			
113 1,840* 113	1,840*	Requesting publication of SIR after Examiner action			
115 110 215	55	Extension for reply within first month			
116 400 216	200	Extension for reply within second month			
117 920 217	460	Extension for reply within third month			
118 1,440 218	720	Extension for reply within fourth month			
128 1,960 228	980	Extension for reply within fifth month			
119 320 219	160	Notice of Appeal			
120 320 220	160	Filing a brief in support of an appeal			
121 280 221	140	Request for oral hearing			
138 1,510 138	1,510	Petition to institute a public use proceeding			
140 110 240	55	Petition to revive - unavoidable			
141 1,280 241	640	Petition to revive - unintentional			
142 1,280 242	640	Utility issue fee (or reissue)			
143 460 243	230	Design issue fee			
144 620 244	310	Plant issue fee			
122 130 122	130	Petitions to the Commissioner			
123 50 123	50	Processing fee under 37 CFR 1.1 7(q)			
126 180 126	180	Submission of Information Disclosure Stmt			
581 40 581	40	Recording each patent assignment per property (times number of properties)			
146 740 246	370	Filing a submission after final rejection (37 CFR § 1.129(a))			
149 740 249	370	For each additional invention to be examined (37 CFR § 1.129(b))			
179 740 279	370	Request for Continued Examination (RCE)			
169 900 169	900	Request for expedited examination of a design application			
Other fee (specify) _____					

• Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Wendy A. Choi	Registration No. (Attorney/Agent)	36,697	Telephone	215-592-6758
Signature				Date	October 22, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket Number: A01087B

RDB:sd

In re application of:
Ralph Craig Even

Serial No: Not yet assigned : Group Art Unit: Not yet assigned

Filed Herewith : Examiner: Not yet assigned

For: Aqueous Acrylic Emulsion Polymer Composition

Box Patent Application
Assistant Commissioner for Patents
Washington, DC 20231

CERTIFICATE OF MAILING

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as Express Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on the date indicated next to my signature below:

Utility Patent Application Transmittal
Fee Transmittal (in dup)
Application
Copy of Declaration and Power of Attorney
Copy of Information Disclosure Statement/PTO 1449
Post Card

10/22/01
Date

Shelley Dalney
Signature

U.S. Postal Service Express Mail Label No. EF385421543US